

# **Consultiva Internacional, Inc.**

## **Consultiva Securities, Inc.**

### **Customer Privacy Policy Important Notice**

To help the U.S. Government fight the funding of terrorism and money laundering activities, Federal law requires all U.S. financial institutions to obtain, verify and record information that identifies each individual or entity opening an account.

What this means to our clients:

When you open an account with Consultiva Securities Inc., we will ask for your name, address, date of birth (for individuals) and an identification number.

- For U.S. Citizens/U.S. entities: this means a taxpayer identification number such as your social security number (SSN) or employer identification number (EIN), government-issued identification with a photograph;
- For Non-U.S. citizens/Non-U.S. Entities: this includes a taxpayer identification number or passport number and country of issuance; or government-issued identification number showing nationality, residence and your photograph.

We may also screen your name against various databases to verify your identity. This verification applies to both new accounts and when changes are made to existing accounts. Please be assured that this information and documentation will be treated with the highest regard to our personal privacy.

We do not share any client information with any outside entities except for regulators when requested.

You may be required to provide identification documents or other information as necessary to verify your identity, such as a passport, driver's license, certified articles of incorporation, government issued business license, partnership agreement or trust agreement. In certain cases you may be required to provide a combination of information and documentation for individual and legal entities.

The Securities and Exchange Commission (SEC), Financial Industry Regulatory Authority (FINRA) and the U.S. Department of the Treasury rules already require you to provide most of this information. These existing rules also may require you to provide additional information, such as your net worth, annual income, occupation, employment information, investment experience, objectives and risk tolerance.

What happens if you don't provide the information requested or if your identity or the identity of your entity cannot be verified? We are then required to verify your identity and until we have verified the information or documents requested, we may not be able to open an account or effect any transactions for you. If we have already opened an account for you, we may restrict trading or have to close it.

We thank you for your patience and hope that you will support the financial industry's efforts to deny terrorists and money launderers access to America's financial system.

If you have any changes in the new account information you provided us when you opened your account with us, such as address change, employment information, and/or investment objectives or changes, please let us know at your earliest convenience.

If you have any questions, please call your consultant or financial advisor at 787-763-5868 or visit our website at [www.consultiva.com](http://www.consultiva.com).